

Appendix 28a ■ Functional Needs Assessment Grid Instructions

The following instructions are intended to be a guide to assist with the assessment of the functional needs of participants. Care managers should use their clinical judgment, observations, and information gathered during the assessment process when making a selection.

Eating:

Reaching for, picking up, grasping utensil and cup; getting food on utensil, bringing food, utensil, cup to mouth, chewing, swallowing food and liquids, manipulating food on plate. Cleaning face and hands as necessary following a meal.

- Independent: Able to feed self.
- Verbal Cueing: Able to feed self but needs verbal reminder or encouragement to eat.
- Stand-by Assist: Assistance needed during the meal, e.g., to apply assistive device, get beverage or push more food to within reach, etc., but constant presence of another person not required.
- Hands-on Assist: Able to feed self certain foods, but cannot cut food or hold utensils, cups, glasses, etc., and requires constant presence of another person.
- Dependent: Unable to feed self at all and is completely dependent upon assistance from another person.
- Paramedical: Is tube-fed. All aspects to tube feeding are evaluated as a Paramedical Service.

Dressing:

Putting on and taking off, fastening and unfastening garments and undergarments, special devices such as back or leg braces, corsets, elastic stockings and artificial limbs or splints.

- Independent: Able to put on, fasten and remove all clothing and devices without assistance. Clothes self appropriately for health and safety.
- Verbal Cueing: Able to dress self but requires verbal reminding or directions with clothing selection.

Stand-by Assist: Able to dress self in most clothing, but may require assistance with tying shoes, buttoning, zipping, putting on stockings or brace, etc. on an as-needed basis.

Hands-on Assist: Unable to dress self completely, without the help of another person, e.g., tying shoes, buttoning, zipping, putting on stockings or brace, etc. Unable to put on most clothing items by self. Without assistance would be inappropriately or inadequately clothed.

Dependent: Unable to dress self at all. Requires complete assistance from another person.

Transfer:

Moving from one sitting or lying position to another sitting or lying position; e.g., from bed to or from a wheelchair, or sofa, coming to a standing position and/or repositioning to prevent skin breakdown. (Note: if pressure sores have developed, the need for care of them is evaluated as a Paramedical Service.)

Independent: Able to do all transfers safely without assistance from another person.

Verbal Cueing: Able to transfer but needs verbal encouragement or direction.

Stand-by Assist: Requires some help from another person at times; e.g., requires a boost or assistance with positioning.

Hands-on Assist: Unable to complete most transfers without physical assistance from another person. Would be at risk if unassisted.

Dependent: Totally dependent upon another person for all transfers. Must be lifted or mechanically transferred.

Bathing:

Bathing means cleaning the body using a tub, shower or sponge bath including getting a basin of water, managing faucets, getting in and out of a tub, reaching head and body parts for soaping, rinsing and drying.

Independent: Able to bathe self safely without help from another person.

Verbal Cueing: Able to bathe self with verbal direction or intermittent monitoring. May need verbal reminder to maintain personal hygiene.

Stand-by Assist: Generally able to bathe self but needs some assistance.

Hands-on Assist: Requires direct assistance with most aspects of bathing. Would be at risk if left alone.

Dependent.: Totally dependent on others for bathing.

Toileting:

Able to move to and from, on and off toilet or commode, empty commode, manage clothing and wipe and clean body after toileting, use and empty bedpans, ostomy and/or catheter receptacles and urinals, apply diapers and disposable barrier pads. (Note: catheter insertion, ostomy irrigation and bowel program are evaluated as Paramedical Services).

Independent: Able to manage bowel and bladder care with no assistance from another person.

Verbal Cueing: Requires verbal reminder and/or direction only.

Stand-by Assist: Requires minimal assistance with some activities but the constant presence of another person is not necessary.

Hands-on Assist: Unable to carry out most activities without hands-on assistance.

Dependent: Requires physical assistance in all areas of care.

Paramedical: Needs Paramedical Services: e.g., catheter insertion, ostomy irrigation, bowel program.

Grooming:

Grooming includes hair combing and brushing, shampooing, oral hygiene, shaving and fingernail and toenail care (unless toenail care is medically contraindicated and therefore is evaluated a Paramedical Service).

Independent: Able to bathe and groom self safely without help from another person.

Verbal Cueing: May need verbal reminder to maintain personal grooming.

Stand-by Assist: Generally able to groom self but needs some assistance.

Hands-on Assist: Requires direct assistance with most aspects of grooming. Would be at risk if left alone.

Dependent: Totally dependent on others for grooming.

Paramedical: Participant needs skilled practitioner to perform grooming that is medically contraindicated. Example: Toenail care when self-care is medically contraindicated, e.g., diabetics or others needing podiatry care.

Medications:

Physically and mentally able to identify, handle, and consume (swallow, inject, instill or insert) the correct amount of the prescribed medication at the specified time according to a doctor's prescription.

Independent: Can identify, measure, and self-administer prescribed medications.

Verbal Cueing: Able to perform tasks but needs verbal direction, guidance or reminder to do it, without risk to safety.

Stand-by Assist: Generally able to self-administer but needs some assistance on an as needed basis, or to monitor for safety.

Hands-on Assist: Cannot perform some parts of this function. May require assistance with measuring, instilling or injecting medications.

Dependent: Cannot perform any part of this function. May require all liquid or injected medication due to swallowing problems or non-cooperative behaviors.

Paramedical: Requires medications (chemotherapy, pain control or others) injected intravenously via through IV, shunt, or gastrointestinal/jejunum tube. All aspects of administration of these medications are evaluated as a Paramedical Service.

Stair Climbing:

Lifting feet, holding handrail and negotiating stairs from outside to inside, and from one interior level to another (from 2 or 3 to as many as 12 to 15 steps).

Independent: Physically and mentally able to negotiate stairs from ground level to first floor or from first to second floor without assistance or risk to safety.

Verbal Cueing: Able to negotiate steps but may need verbal reminder to watch steps or hold handrail.

Stand-by Assist: Able to negotiate steps independently or with use of handrail. May be a fall-risk and could benefit from someone on stand-by to help balance or steady the person as needed.

Hands-on Assist: Able to negotiate steps with use of handrail and the assistance of someone helping to balance or steady the person. Able to negotiate only a small number of steps, i.e., ground-level to first floor or two

to three steps between levels, with considerable help from another person to lift foot and lift body to next step.

Dependent: Unable to negotiate any stairs inside or outside, must be carried in chair or on gurney (or stretcher) to go from one level to another.

Mobility Indoor:

Walking or moving inside; moving from one area of indoor space to another without necessity of handrails. Can respond adequately to the presence of obstacles that must be stepped around. Includes ability to go from inside to outside and back (exclusive of stair climbing, see separate function).

Independent: Requires no physical assistance from another, although person may be slow or experience some difficulty or discomfort. Getting to and from where she/he wants to go can be accomplished safely.

Verbal Cueing: Can move inside with verbal encouragement or reminders to watch for steps or to use a cane or walker.

Stand-by Assist: Requires intermittent physical assistance from another person to negotiate a wheelchair, or to steady the person or guide them in the desired direction.

Hands-on Assist: Requires constant attention from another person; at risk of being lost or unsafe if not accompanied.

Dependent: Unable to move about; must be carried, lifted, or pushed in a wheelchair or on a gurney at all times.

Mobility Outdoor:

Walking or moving around outside, moving from one area of outdoor space to another or walking on the sidewalk or path without necessity of handrails. Can respond adequately to uneven sidewalk, or the presence of obstacles that must be stepped around. Includes ability to go from inside to outside and back (exclusive of stair climbing, see separate function).

Independent: Requires no physical assistance from others although person may be slow or experience some difficulty or discomfort. Getting to and from where she/he wants to go can be accomplished safely.

Verbal Cueing: Can move outside with verbal encouragement or reminder to watch steps or to use a cane or walker.

Stand-by Assist: Requires intermittent physical assistance from another person to negotiate a wheelchair, or to steady the person or guide them in the desired direction.

Hands-on Assist: Requires constant attention from another person, at risk of being lost or unsafe if not accompanied.

Dependent: Unable to move about outside. Must be carried, lifted, or pushed in a wheelchair or on a gurney at all times.

Housework:

Sweeping, vacuuming, and washing floors; washing kitchen counters and sinks; cleaning the bathroom; storing food and supplies; taking out garbage; dusting and picking up; cleaning oven and stove; cleaning and defrosting refrigerator; bringing in fuel for heating or cooking purposes from a fuel bin in the yard; changing bed linen.

Independent: Able to perform all domestic chores without a risk to health and safety.

Verbal Cueing: Able to perform tasks but needs verbal direction or encouragement from another person.

Stand-by Assist: Able to perform tasks, but may require stand-by assistance from another person for some chores; e.g., has limited endurance or limitations in bending, stooping, reaching, etc.

Hands-on Assist: Requires intermittent physical assistance from another person for some chores; e.g., has limited endurance or limitations in bending, stooping, reaching, etc. Requires assistance from another person for most chores. May be able to perform a few chores (e.g., dust furniture or wipe counters).

Dependent: Totally dependent upon others for all domestic chores.

Laundry:

Gaining access to machines, sorting, manipulating soap containers, reaching into machines, handling wet laundry, operating machine controls, hanging laundry to dry, folding and storing.

Independent: Able to perform all chores.

Verbal Cueing: Able to complete chores with verbal reminder from another person.

Stand-by Assist: Able to complete most chores. Requires stand-by assistance with some tasks including reaching into machines, lifting clothing into machines, etc. to avoid injury.

Hands-on Assist: Requires intermittent assistance with some tasks. Requires assistance with most tasks. May be able to do some laundry tasks; e.g., hand wash underwear, fold and/or store clothing by self or under supervision.

Dependent: Unable to perform any tasks; is completely dependent on assistance from another person.

Shopping and Errands:

Compile list, bending, reaching, and lifting, managing cart or basket, identifying items needed, transferring items to home, putting items away, phoning in and picking up prescriptions, and buying clothing.

Independent: Can perform all tasks without assistance.

Stand-by Assist: Can perform most tasks. May need intermittent assistance lifting, bending, reaching, managing cart or baskets, transferring items to home, putting items away, etc. on an as-needed basis.

Hands-on Assist: Requires the assistance of another person for most tasks; e.g., help with major shopping needed, but participant can go to nearby store for small items.

Dependent: Unable to perform any tasks; is completely dependent on assistance from another person.

Meal Preparation and Cleanup:

Planning menus. Washing, peeling, slicing vegetables, opening packages, cans and bags, mixing ingredients, lifting pots and pans, re-heating food, cooking, safely operating stove, setting the table, serving the meal, cutting food into bite-sized pieces. Washing, drying, and putting away the dishes.

Independent: Can plan, prepare, serve and clean up meals.

Verbal Cueing: Needs only verbal reminder or guidance in menu planning, meal preparation, and/or cleanup.

Stand-by Assist: Requires another person to prepare and clean up main meals on less than a daily basis; e.g., can reheat food prepared by someone else, can prepare simple meals and/or needs help with cleanup on a less than daily basis.

Hands-on Assist: Requires another person to prepare and clean up main meal(s) on a daily basis.

Dependent: Completely dependent on another person to prepare and clean up all meals.

Transportation:

Using private or public vehicles, cars, buses, trains, or other forms of transportation to get to medical appointments, purchase food, shop, pay bills or arrange for services, to socialize and participate in entertainment or religious activities. Can arrange for getting and using public transportation or get to, enter and operate a private vehicle.

Independent: Can arrange, get to, enter and travel in public or private vehicles; upon arrival can exit and arrange return travel with the same capability. Can perform all activities without risk.

Verbal Cueing: Can use public transportation or ride in a private vehicle when reminded to make arrangements or to enter or exit a vehicle.

Hands-on Assist: Requires physical assistance to make transportation arrangements, i.e., calling, writing instructions about time and place, can ride with others if assisted into and out of the vehicle.

Dependent: Unable to travel at all by self. Has to be carried into or out of vehicle in arms or on a gurney. Requires transportation by others. Cannot use any form of public transit. Travels by paratransit with no self-assist, or by private car with full assistance.

Paramedical: Unable to travel at all by self. Has to be carried into and out of vehicle in arms, wheelchair or on a gurney. Requires transportation by others. Cannot use any form of public transit - travels by non-emergency medical transport.

Telephone:

Obtains number, dials, handles receiver, can speak and hear response, and terminates call, may include use of instrument with loudspeaker or hearing devices. Can be expected to use telephone during emergency situations to call 911 or other help.

Independent: Can obtain and dial number, handle receiver, terminate call and replace receiver without assistance.

Verbal Cueing: Needs only verbal reminder on how to use the phone, or how to get the number. May need to be encouraged to use the phone.

Hands-on Assist: Needs assistance to obtain a number or dial but can carry on conversation once the other party is reached; can terminate a call.

Dependent: Unable to use phone at all. Unable to conduct conversation on phone for either physical or mental reasons.

Money Management:

Physically and mentally handles the receipt of monies, expenditures, and receipt and payment of bills in a timely and correct manner.

Independent: Handles all financial matters without risk of eviction, turn-offs and other "failure to pay" related problems.

Verbal Cueing: Is able to perform all financial transactions but may need to be reminded to pay bills or obtain cash from bank.

Hands-on Assist: For either physical or mental reasons, may need intermittent assistance in doing banking, writing checks or other isolated elements of financial transactions.

Dependent: Unable to attend to any part of the necessary financial transactions to receive and disburse funds to meet daily needs.